Redressal of Complaints received during April 2019 to March 2020

Name of the Mutual Fund: UTI Mutual Fund

Total Number of Folios: 10915080

Complaint code Type of complaint#	Action on (a) and (b)										
	pending at the beginning	(D) NO OF	Resolved					Pending as on 03/04/2020			
		received during the	Within 30 days	30-60 days			Non Action	0-3 month	3-6	6-9	9-12 mont
			•			_					0
Units			_			_			-	Ů	
of Dividend	0		0	0	0	0	·	0	0	0	0
Proceeds	0	19	19	0	0	0	0	0	0	0	0
of Redemption	0	1	1	0	0	0	0	0	0	0	0
Non receipt of Statement of Account/Unit Certificate	0	4	4	0	0	0	0	0	0	0	0
Discrepancy in Statement of Account	0	10	10	0	0	0	0	0	0	0	0
Data Corrections in Investor Details	0	112	112	0	0	0	0	0	0	0	0
Non receipt of Annual Report/Abridged Summary	0	0	0	0	0	0	0	0	0	0	0
Wrong switch between Schemes	0	2	2	0	0	0	0	0	0	0	0
Unauthorized switch between Schemes	0	0	0	0	0	0	0	0	0	0	0
Deviation from Scheme attributes	0	0	0	0	0	0	0	0	0	0	0
Wrong or excess charges/load	0	0	0	0	0	0	0	0	0	0	0
Non updation of changes viz. address, PAN, bank details, nomination, etc	0	30	30	0	0	0	0	0	0	0	0
Others	0	43	43	0	0	0	0	0	0	0	0
Total	0	227	227	0	0	0	0	0	0	0	0
nd for FY 2019-20 Complaints	Summary										
	Count										
nts Received During FY 2019-	227										
of Folios	10915080										
mplaints Against Folios	0.0021										
	Interest on delayed payment of Dividend Non receipt of Redemption Proceeds Interest on delayed payment of Redemption Non receipt of Statement of Account/Unit Certificate Discrepancy in Statement of Account Data Corrections in Investor Details Non receipt of Annual Report/Abridged Summary Wrong switch between Schemes Unauthorized switch between Schemes Wrong or excess charges/load Non updation of changes viz. address, PAN, bank details, nomination, etc Others Total Ind for FY 2019-20 Complaints Ints Received During FY 2019- Ints Received Dur	Type of complaint# of the year  Non receipt of Dividend on Units  Interest on delayed payment of Dividend  Non receipt of Redemption Proceeds  Interest on delayed payment of Redemption Non receipt of Statement of Account/Unit Certificate Discrepancy in Statement of Account Data Corrections in Investor Details Non receipt of Annual Report/Abridged Summary Wrong switch between Schemes Unauthorized switch between Schemes Unauthorized switch between Deviation from Scheme attributes Wrong or excess charges/load O  Non updation of changes viz. address, PAN, bank details, nomination, etc Others O  Total O  Ind for FY 2019-20 Complaints Summary  Count  Tots Received During FY 2019- 227  10915080	Type of complaint#  Non receipt of Dividend on Units Interest on delayed payment of Dividend Non receipt of Redemption Proceeds Interest on delayed payment of Redemption Non receipt of Statement of Account/Unit Certificate Discrepancy in Statement of Dividend Non receipt of Annual Report/Abridged Summary Wrong switch between Schemes Deviation from Scheme attributes Wrong or excess charges/load Non updation of changes viz. address, PAN, bank details, nomination, etc Others  Of Folios  po do do during the year  complaints received during the year  and the beginning of the year  of the year  complaints received during the year  and unity sear  O 0  O 19  19  10  4  4  4  4  4  4  4  4  4  4  4  4  4	Type of complaint# of the year	Pending at the beginning of the year   Non receipt of Dividend on Units   Non receipt of Redemption   Non receipt of Statement of Redemption   Non receipt of Statement of Account/Unit Certificate   Discrepancy in Statement of Data Corrections in Investor Details   Non receipt of Annual Report/Abridged Summary   Wrong switch between Schemes   Deviation from Scheme attributes   Non updation of changes viz. address, PAN, bank details, nomination, etc   Non updation of Count   Non updation of changes viz. add for FY 2019-20 Complaints   Summary   Late Count   Late Count	Complaints pending at the beginning of the year of the year of the year of the year of Dividend on Units Interest on delayed payment of Dividend Non receipt of Redemption Proceeds Interest on delayed payment of Redemption Proceeds Interest on delayed payment of Redemption Interest on Interest	Complaints pending at the beginning of the year of the year of the year of the year of Dividend on Units   O	Complaints pending at the pending at the beginning of the year   Complaints received beginning the year   Solution   Complaints   Com	Complaints   Com	Complaints   Com	Complaints   Com

# including against its authorized persons/ distributors/ employees. etc.

\*Non actionable means the complaint that are incomplete / outside the scope of the mutual fund